

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yasuhiro IWAMURA, et al.

SERIAL NO: 09/981,983

GAU: 3641

FILED: October 19, 2001

EXAMINER: J. W. KEITH

FOR: NUCLIDE TRANSMUTATION DEVICE AND NUCLIDE TRANSMUTATION METHOD

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

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REFERENCES

- ☒ The applicant(s) wish to make of record the references, some of which are cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☒ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Marvin J. Spivak

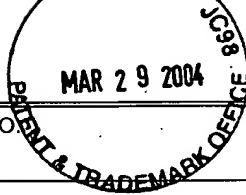
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 215141US2		SERIAL NO. 09/981,983	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Yasuhiro IWAMURA, et al.			
				FILING DATE October 19, 2001		GROUP 3641	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	196 49 511 /	06/04/1998	GERMANY			X
	AP	40 09 604 /	02/20/1992	GERMANY			X
	AQ	1 202 290	05/02/2002	EUROPE			
	AR						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AS	Y. IWAMURA, et al., Fusion Technology, vol. 33, no. 4, XP-000779029, pages 476-492, "DETECTION OF ANOMALOUS ELEMENTS, X-RAY, AND EXCESS HEAT IN A D ₂ -PD SYSTEM AND ITS INTERPRETATION BY THE ELECTRON-INDUCED NUCLEAR REACTION MODEL", July 1998					
	AT	Y. IWAMURA, et al., Jpn. J. Appl. Phys., vol. 41, pt. 1, no. 7A, pages 4642-4650, "ELEMENTAL ANALYSIS OF PD COMPLEXES: EFFECTS OF D ₂ GAS PERMEATION", July 2002					
	AU	Y. IWAMURA, et al., Tenth International Conference on Cold Fusion, 11 pages, "LOW ENERGY NUCLEAR TRANSMUTATION IN CONDENSED MATTER INDUCED BY D ₂ GAS PERMEATION THROUGH PD COMPLEXES: CORRELATION BETWEEN DEUTERIUM FLUX AND NUCLEAR PRODUCTS", 2003					
	AV	T. HIGASHIYAMA, et al., Tenth International Conference on Cold Fusion, 7 pages, "REPLICATION OF MHI TRANSMUTATION EXPERIMENT BY D ₂ GAS PERMEATION THROUGH PD COMPLEX", 2003					
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	AX					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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